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AI #7

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2020-03-31

The topic for today is about the constraint satisfaction problems and their solutions. The famous SAT (Boolean satisfiability) problem belongs to this family, and so do many puzzles, e.g. from collections of Simon Tatham. At first we see some typical problems. Next we examine the most traditional solving method of CSPs: the backtracking. After we deal with the structure of the problem, which could speed up the solving of the problem. Finally we present how we can solve problems with local search, and how effective this method is.

- Standard search problem: state is a "black box"—any old data structure that supports goal test, eval, successor
- CSP:
  - \* state is defined by variables  $X_i$  with values from domain  $D_i$
  - goal test is a set of constraints specifying allowable combinations of values for subsets of variables

Simple example of a formal representation language Allows useful general-purpose algorithms with more power than standard search algorithms

At a usual search problem we are not interested in the structure of the state. The search methods that we are interested in have an initial state, and it is clear which states succeed which. This enables us to write very general functions which can solve almost every search problem, but a general program cannot take into consideration the specialities of specific problems. At CSP we have several variables which describe the state. The values of these variables are from given domains. We have one or more constraints about the variables and their values. We say that a state is a goal state, if the values of the variables satisfy all the constraints.

We can treat CSP as a very simple language which describes problems formally. The fact that we can access specific parts of states enables us to use the specialities of a problem, and restrict the search on the state-space in order to get the solution earlier. 2020-03-31 2020-03-31

## —Example: Map-Coloring



One of the most typical example of CSP is the map coloring (https://en.wikipedia.org/wiki/Graph\_coloring). Here we have countries/regions and we need to colour them in such a way, that the adjacent countries need to be of different colours. By the four colour theorem we can do this for any planar (2D maps), but it is an NP-hard problem to decide, whether a given planar map can be coloured with just three colors. Here we apply the latter problem to Australia, where there are seven regions. The variables are named by their abbreviations. We have the same set of colours for every region, so all the domains are the same. Finally the constraints need to express the rule of map colouring. For this we need to list all the adjacent pairs, and give a constraint so that their values are different. Can you solve it alone?

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# └─Example: Map-Coloring contd.



This is the solution: all the regions are coloured, and the adjacent regions are of different colours. We can give this solution not only as a picture, but as a list of assignments.

Al #7

#### Constraint graph





General-purpose CSP algorithms use the graph structure to speed up search. E.g., Tasmania is an independent subproblem!

For this map colouring problem all the constraints are binary ones, they contain two variables. In such cases we can draw a graph, where our variables will be the nodes of the graph, and each constraint means one edge of the graph. Now we can take the connected subgraphs, and can solve the subproblems in parallel.

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# AI #7 —Varieties of CSPs

Varieties of CSPs

We have finitely many variables, this is common at CSPs. But the size/type of the domain can vary. For puzzles (like map colouring) we have finite domains only. If the size of the biggest domain is d, then the problem has exponential complexity:  $O(d^n)$ .

In some cases we have discrete variables, but the domain is infinite. When building a house, the bricklayer must work earlier than the roompainter, and the walls must dry several days before painting. We can uses variables for the start and end dates, and add constraints to the technological limits. This take us to the operational research (https://en.wikipedia.org/wiki/Operations\_research). If the constraints are linear then we can get a solution, otherwise we cannot solve all the problems, just some specific ones.

 Varieties of CSPs
 • Discrete variables
 • Case of a scalar statistics
 • e.g. fause code (Ps) scale takenes metadataty (Mercanaka)
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Moreover we have a third option, when our variables are continuous. A famous example for this case is the Hubble Telescope. Initially, it took two weeks for to optimally sort the astronomers' requests for one week. Now a local search solves it almost optimally within 10 minutes. For linear problems/constraints the Simplex method (https://en.wikipedia.org/wiki/Simplex\_algorithm) is the favoured solving method.

In the following we will work with finite domains.

-Varieties of CSPs

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#### -Varieties of constraints

#### Varieties of constraints

- Unary constraints involve a single variable,
   ∗ e.g., SA ≠ green
- Binary constraints involve pairs of variables,
   e.g., SA ≠ WA
- Higher-order constraints involve 3 or more variables,
   e.g., cryptarithmetic column constraints
- Preferences (soft constraints), e.g., red is better than green

   often representable by a cost for each variable assignment →
   constrained optimization problems

We can classify constraints according to different criteria. One such aspect is the number of different variables in one constraint. We can have unary, binary and higher order constraints. The other aspect is whether the constraint need to hold, or can we treat the cases when this constraint does not hold as solution. The former is the hard, the latter is the soft constraint. If the problem contains soft constraints, then usually we add cost to each constraint, and we can calculate the total cost of solutions. This means that we can treat this kind of problems as an optimization problem.

Example: Crystarithmetic I W 0 - 1 W 0 - 0 U R - 1 W 0 - 1 W

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Maybe you know the SEND+MORE=MONEY problem. This one is a simpler problem. The letters denote numbers, different letter different numbers. What is value of the letters, so that the calculation is correct? We can reformulate it as a diophantine equation 200T + 20W + 2O =1000F + 100O + 10U + R, and we interested in its solution. But we can formulate by columns as:  $2O = R + 10X_1$ ,  $X_1 + 2W = U + 10X_2$ ,  $X_2+2T = O+10X_3$ ,  $X_3 = F$ . Here F, T, U, W, R and O denote numbers, so their domain is  $\{0, ..., 9\}$ , more precisely we cannot start number with 0, so the domain of T and F is  $\{1, ..., 9\}$ , and  $X_i$ s are carries, so the domain here is  $\{0,1\}$ . The constraint *different letters denote different numbers* is not described yet. It can be given as pairwise inequalities—as you have seen at the map colouring problem—or using the all-different construction which was given here as ldiff.

Real-world CSPs
Assignment problems     * e.g., who trackes what clean     * e.g., who trackes what clean     * Translably problems     * e.g., which crais infered when not subset?     * Assignment     * Pactorean     * Pactorean     * Pactory subsetling     * Pactory subsetling     Notice that many real-world problems involve real-volvad variables

The CSP problems are not artificial ones, we meet them in real life. For example, constructing a timetable for this faculty is a such a problem, at first the departments need to decide who teaches which class (each teachers is knowledgeable in some specific topics, and a teacher has a limited time frame), and if we have all these constraints, the administration needs to give the lectures a place (room) and time. Of course at the same time we cannot have two classes in the same room, and a teacher/student cannot have 2 classes at the same time.

Here you can see other problems. Of course, with real-world problems we usually need to use real-valued variables, which make the problem harder.

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# Real-world CSPs

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#### —Standard search formulation (incremental)

#### Standard search formulation (incremental)

Let's start with the straightforward, dumb approach, then fix it States are defined by the values assigned so far

- $\bullet$  Initial state: the empty assignment,  $\emptyset$
- Successor function: assign a value to an unassigned variable that does not conflict with current assignment. 
   → fail if no legal assignments (not fixable!)
- · Goal test: the current assignment is complete
- This is the same for all CSPs
- Every solution appears at depth n with n variables ---- use depth-first search
- Path is irrelevant, so can also use complete-state formulation
- $b = (n \ell)d$  at depth  $\ell$ , hence  $n!d^n$  leaves!!!!

We have variables (without value) and we want to give value of them to satisfy the constraints. We do this step-by-step, where in each step we assign a value to one variable. This looks like a search problem. For this we need a state space, which is the set of partial assignments of variables. At the starting state there are no assigned variables and the successor function add to a value to some variable if it does not conflict with any constraints. If all variables are assigned (and hence all the constraints are fulfilled), we have a solution, hence it is the goal state.

We can use this method for any CSP (with finite domain). From the construction it is obvious, that all the solutions are at depth level n, where we have n variables. In this case d = m (the deepest level is n), so the depth-limited search is not helpful, so the best choice here is the depth first search.

## —Standard search formulation (incremental)

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- · Goal test: the current assignment is complete
- This is the same for all CSPs!
- Every solution appears at depth n with n variables use depth-first search
- Path is irrelevant, so can also use complete-state formulation b = (n - f)d at depth f, hence nld<sup>n</sup> leaves!!!!

What is the branching factor at level *I*? We have n-I unassigned variables, and if the size of the biggest domain is *d*, then we have (n-I)d possibilities. If we multiply these values for I = 0, ..., n then we get the  $n!d^n$  which is a huge number even for simple problems. In the case of the cryptarithmetic problem before (TWO+TWO=FOUR) gives  $3.63 \times 10^{16}$ .

Backtracking search
<ul> <li>Variable assignments are commutative,</li> </ul>
<ul> <li>i.e., [MA = red then NT = green] same as [NT = green then MA = red]</li> </ul>
<ul> <li>Only need to consider assignments to a single variable at each nod</li> <li>⇒ b = d and there are d<sup>w</sup> leaves</li> </ul>
<ul> <li>Depth-first search for CSPs with single-variable assignments is calle backtracking search</li> </ul>
$\bullet$ Backtracking search is the basic uninformed algorithm for CSPs $\bullet$ Can solve n-queens for $n\approx 25$

Fortunately it does not matter in what order our variables get their values, or using the mathematical terminology the variable assignment is commutative. This means that in one step we do not need to take into account all unassigned variables, just select one of them! This decreases the previous complexity to  $d^n$ , so the number below decreases to  $10^{10}$ . Using DFS with single variable assignment is called *backtracking search*. Without any improvement we can solve the *n*-queen problem (place *n* queens on  $n \times n$  table without any two in conflict) for 25 queens.

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Backtracking search

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2020-03-31 2# IV

# <sup>™</sup> □Backtracking search

#### Backtandarding search Inarcian Backtandarg/Search(op): solution/fullews return Meanwite/Backtanding(1), exp) Inarcian Meanwite/Backtanding(1), exp) Inarcian Meanwite/Backtanding(1), Inar

Let us see its implementation! We need to call a recursive function, where the value of the first argument (the assignments) is empty at first. If the assignment is complete, we are ready. Otherwise we need to select somehow an unassigned variable, and based on a given order we need to try all the elements of the domain. If this value is consistent (conforms to the constraints), we keep it, we add this assignment to the other assignments, and the function call itself (recursion). If this was successful, we return the solution. Otherwise we reverse the last assignment, and try the next value for the actual variable. If we cannot assign any value to this variable, we need so send back failure to the caller function.



Let us see the map of Australia! At first there are no coloured regions.







If we first select Western Australia, we can use any of the colours to colour it.





Let us take the first case, when this region is red. If we next select the Northern Territory, we can only use two colours, the red cannot be used here, as two neighbouring regions cannot be of same colour.





If we use green for Northern Territory and next select Queensland, the are two ways to colour it, etc.

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# └─Improving backtracking efficiency

General-purpose methods can give huge gains in speed: • Which variable should be assigned next? • In what order should its values be trid? • Can we deter inviviable faiture early? • Can we take advantage of problem structure?

Improving backtracking efficiency

You may remember: we need to select an unassigned variable, and give an ordering to the values. How can we do this in a clever way?

If in the last line on the previous slide Queensland is coloured blue, we cannot finish the colouring as we cannot use ony of the colours for South Australia. How can we detect such cases when we have hundreds or thousands of variables?

We can use heuristics. There are different heuristics than the ones we used at best-first kind searches. There we evaluated states, based on the distance from a goal state.

2020-03-31 2# IV

#### -Minimum remaining values

At MRV heuristics we sort variables based on values suited to the constraints. Let us take the case, when Western Australia is red and Northern Territory is green. For South Australia we can only use the blue, i.e. 1 option. Queensland can be coloured red and blue, i.e. 2 options. New South Wales, Victoria and Tasmania can be coloured at this state in all three ways. So South Australia has the minimal legal values, so this heuristic gives back this variable. This means at this step we will colour it blue. If there is a variable which has no legal values, this heuristic finds it, and hence we know that we need to go back. If some variable has only one legal value, we will use it immediately. So this heuristic help us to find dead ends as soon as possible, to avoid discovering hopeless states.

Minimum remaining values

Minimum remaining values (MRV): a choose the variable with the fewest legal values



2020-03-31 2# IA

# └─Degree heuristic



At the construction of the faculty timetable the biggest lectures are fixed first. A small seminar can be moved easily in case of a conflict, but a lecture with many practices/labs has many dependencies to consider. In the case of CSP this observation, experience gives the degree heuristic. We can use it, if the MRV does not select a unique variable.

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#### Degree heuristic



At the coloring problem in the starting state all the regions can have all three colours. South Australia has 5 adjacent uncoloured region, Western Australia has 2, etc. The winner here is South Australia with 5, so we need to colour this region first. The other regions of mainland have two colour options left (red and green), because all are adjacent with South Australia. So the MRV heuristic cannot decide. Victoria and Western Australia have one non-coloured neighbours each, so based on degree heuristic we need to choose between Northern Territory, Queensland and New South Wales. In this case the Northern Territory was selected and coloured to green. The MRV heuristic could select between Western Australia and Queensland, because here we can only use one colour (red). And we can continue this process using these two heuristics.

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#### -Least constraining value



You may remember, if you selected a variable, we need to try all the possibilities (values), but we need to determine a suitable order. At this heuristic we want to lift a minimal barrier for the following steps, to allow for the longest sequence of assignments. At the picture before the branching we have coloured Western Australia and Northern Territory. Let us assume, that the next selected region is Queensland. We have two options as the Northern Territory is green: red and blue.

By coloring Queensland we restrict the possibilities of South Australia and New South Wales. If we colour it to red, the only possibility of South Australia (blue) remains, and New South Wales lost the colour red.





If we colour Queensland to blue, South Australia has no remaining colours, and New South Wales lost the colour blue.

Summing up: if we sum the lost colours, we get 1 for each case, but the colour blue prevent us from solve the problem. With red we can get a solution.

These heuristics are independent, you can use any combination of them. aima-python contains a program, where you can play with these combinations. If you apply all of them the n-queens problem can be solved for 1000 queens.

https://github.com/aimacode/aima-python/blob/master/csp.ipynb



If we assign a value to a variable, then we can take into the account the direct consequences of this step. So let us store the remaining possibilities! At first when there are no assigned variables, all regions have three options.





If Western Australia is coloured red, then its neighbours cannot be red, so we need to delete this option from Northern Territory and South Australia.





If the second region is Queensland and it is coloured green, then the adjacent regions (Northern Territory, South Australia and New South Wales) cannot be green, so we need to delete this option from them.





If the third region Victoria is coloured blue, then the adjacent regions (South Australia and New South Wales) cannot be blue, so we delete these options. Therefore there is no options left for South Australia, so we need to go back.



#### Constraint propagation

Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



NT and SA cannot both be bluel Constraint propagation repeatedly enforces constraints locally

The forward checking only uses the direct consequences (only considers one step). We can construct all the logical consequences of one step. After the second step of the previous example we could realize that two adjacent regions (Northern Territory and South Australia) can both only be blue, which leads to a contradiction.

We construct the consequence is small steps. Our tool for this is the arc consistency.



A non-symmetric arc  $X \to Y$  (between variables X and Y) is consistent, if for every value  $x \in X$  there is some allowed  $y \in Y$ . Let denote South Australia by X and New South Wales by Y. X has only one value (blue) and in Y there is a suitable (i.e. different) value, red. So the arc consistency holds in this direction.



If we take the opposite direction (New South Wales is X and South Australia is Y), then if X is red, the blue in Y is OK. But if X is blue, Y does not contain a suitable value. Hence we cannot colour X (New South Wales) blue, because it cannot give a solution. Therefore we delete this option here.



Changes can spread, so we need check the neighbours. Victoria is adjacent to New South Wales, and as New South Wales can only be red, Victoria needs to be a different colour (we need to delete the red here).





Arc consistency could detect the contradiction mentioned before (Northern Territory and South Australia). To preserve the (arc) consistency is not a costly process, so we can apply this after each assignment.

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Arc consistency algorithm

function AC-3(csp): the CSP, possibly with reduced domains inputs: csp, a binary CSP with variables  $\{X1, X2, \ldots, Xn\}$  local var.: queue, a queue of arcs, initially all the arcs in csp

while queue is not empty do
 (Xi, Xj) := Remove-First(queue)
 if Remove-Inconsistent-Values(Xi, Xj) then
 for each Xk in Neighbors[Xi] do
 add (Dk, Xi) to queue

What are the necessary checks we need to do? The literature knows methods from AC-1 to AC-8. The most known/applied is the AC-3. aimapython contains this, its variant and AC-4. This function use a queue (similarly to the BFS), which contains any related pairs of variables (in both direction).

We process this queue, and if it is possible to delete any values from some domain, we need to take the neighbours of the modified domain, and add new arcs to the end of the queue. If the queue becomes empty, we are done.

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# AI #7

$$\label{eq:record} \begin{split} functions hence "location trans iff successing removed := false for such as in Domain[L] do for such as in Domain[L] do for values <math display="inline">\gamma$$
 is Domain[L] allows for the successing tilt  $x < X_j$  () to satisfy the constraint II  $x < X_j$  () to satisfy the constraint  $X_j$  is the successing tilt  $x < X_j$  () to satisfy the constraint  $X_j$  is the successing tilt of the successing tilt the successing till of the successing tilt of the succ

Arc consistency algorithm

 $O(n^2d^2)$ , can be reduced to  $O(n^2d^2)$  (but detecting all is NP-hard)

The Remove-Inconsistent-Values function is based on the definition of arc consistency (if some constraint does not hold for x and y, we delete x from its domain. This function contains a double cycle on domains (size d), and one arc can get back into the queue d times. The number of arcs is proportional to  $n^2$ . So complexity of the arc consistency is quadratic in size of variables.





Previously we said that if the problem can be broken down into subproblems, then we solve subproblems independently and in parallel. As we describe problems as graphs, the subproblems are subgraphs, more precisely the connected components of the graph of the problem. 2020-03-31 2# IY

## Problem structure contd.

• Suppose each subproblem has c variables out of n total • Worst-case solution cost is  $n/c - d^n$ . Insur in n• E g, n = 80, d = 2, c = 30•  $2^m = 4$  hillins years at 10 million nodes/sec • 4 ·  $2^m = 0.4$  seconds at 10 million nodes/sec

Problem structure contd

A simple calculation: the original problem has *n* variables, its subproblems have *c*, and the biggest domain has *d* values. The original  $d^n$  is reduced to  $n/c \times d^c$ , which is linear in *n*. Lets see this with numbers: if we are able to break down the almost unsolvable problem into four similarly sized subproblems, then we can get a solution almost immediately.



Tree-structured CSPs



**Theorem:** if the constraint graph has no loops, the CSP can be solved in  $O(n d^2)$  time

 ${\scriptstyle \bullet}$  Compare to general CSPs, where worst-case time is  ${\cal O}(d^{\rm s})$ 

 This property also applies to logical and probabilistic reasoning: an important example of the relation between syntactic restrictions and the complexity of reasoning.

Let see the very special case, when the constraint-graph has no cycles, i.e. it is a tree. In this case the complexity of the solution method is linear in n, while the general method is exponential in n. This special structure can be used in further chapters.

#### Algorithm for tree-structured CSPs

#### Algorithm for tree-structured CSPs

Choose a variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering



For j from n down to 2, apply Removelnconsistent[Parent(X\_j)
 For j from 1 to n, assign X<sub>j</sub> consistently with Parent(X<sub>j</sub>)

We can start from anywhere, select any variable as the of the Use topological ordering root sequence. (https://en.wikipedia.org/wiki/Topological\_sorting) for the tree! Next go backwards and delete from the parents domain what is inconsistent with any of its children. Finally assign a value to the variables from the root.

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# └─Nearly tree-structured CSPs

#### Nearly tree-structured CSPs



What can we do if our graph is not a tree? If it is almost a tree, then there is a solution. *Delete* nodes from the cycles. In case of Australia we have several cycles, and South Australia is part of all of them. If we delete this region, we can get a tree (without branching). How can we delete a node/variable? Assign a value to it, and take the direct consequences (delete the corresponding values from the neighbours domains). If we needed to delete c variables, we can have  $c^d$  assignments of these variables, and for the remaining part the complexity is  $(n - c)d^2$ . If c is small, this will be small too.

This was a different improvement of the backtracking search. But we have a totally different method to solve CSPs.

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# -Iterative algorithms for CSPs

Iterative algorithms for CSPs

- Hill-climbing, simulated annealing typically work with "complete states, i.e., all variables assigned
   To apply to CSPs:
- Io apply to CSPS:
   allow states with unsatisfied constraints
- allow states with unsatisfied constraint
   operators reassign variable values
- Variable selection: randomly select any conflicted variable
   Value selection by min-conflicts heuristic:
  - choose value that violates the fewest constraints
     i.e., hillclimb with h(n) = total number of violated constraints

Similarly to search problems, we can use the local search to find the solution. In this case a state will be a **complete** assignment. Here the partial assignments—which were an essential part of the backtracking method—cannot be used.

Any (e.g. a random) assignment will not necessarily be an valid solution, it would probably violate several constraints. But any complete assignment means for us a state, and changing values of some variables give a successor state. We assign heuristic functions based on a number of violated constraints, and we can use the most typical local search methods: hill-climbing, simulated annealing, etc.

The method min-conflicts performs very well for many tasks. Here we have a cycle, which ends if there are no more conflicts. Otherwise in this cycle we need to randomly select a conflicted variable, and choose its best value based on this heuristic. 2020-03-31 2# IV

## -Example: 4-Queens



We need four variables, the  $i^{th}$  variable gives the row of the queen in the  $i^{th}$  column (they need to be in different columns and in different rows). So one queen could move up and down only. Our heuristic gives the number of attacks (denoted by lines). At the beginning this is 5. If we move the second queen to the uppermost row, this decreases to 2. If then we move the third queen into the lowermost row, we get a solution.

## -Performance of min-conflicts



This methods work very well for a large number of queens, and gives a solution in a very short amount of time.

At randomly generated CSPs if we have a small number of constraints (according to the number of variables) we can solve problems easily. If we have many constraints, then the problem becomes unsolvable (over-constrained), which can be detected easily, but not with min-conflicts. We have a ratio, when the solution of the problem is really hard.

	Summary
—Summary	CSPs are a special kind of problem     * stars default by contraint on variables     * special and for the protection on variable values     * special and for the protection on variable and protection     * Backstacking depth-free starsh-with one variable assignment     * Forward cacksing protection     * Special cacksing protection     * Special cacksing and the special cacksing and the special cacksing and the special cacksing protection     * To SPS special cacksing and the spe

CSP is a special kind of problem, the problem has an inner structure, which can described with variables, and we have constraints about the values of these variables. The available values of a variable defines a domain for each variable.

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The main solving method is backtracking, which is a systematic search (DFS with one variable assignment). We can apply several heuristics to speed up the search. The forward checking considers only one step, while the constraint propagation considers several ones.

We can improve with the analysis of the problem structure: subproblems, (near) tree-like problems. The tree structured CSPs can be solved very fast.

The min-conflicts local search method is also very effective in practice.