

# Advanced Calculus

## Infimum and Supremum

ThinkBS: Basic Sciences in Engineering Education

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Suppose  $S$  is an ordered set, and  $E \subseteq S$ . If there exists a  $\beta \in S$  such that  $x < \beta$  for every  $x \in E$ , we say that  $E$  is **bounded above**, and call  $\beta$  an **upper bound** of  $E$ . (lower bound is also defined similarly)

Consider  $E$  is bounded above and there exists an  $\alpha \in S$  with the following properties :

- ①  $\alpha$  is an upper bound of  $E$ .
- ② If  $y < \alpha$  then  $y$  is not an upper bound of  $E$ .

In this case  $\alpha$  is called the least upper bound or **supremum** of  $E$ , shown by  $\alpha = \sup(E)$

Greatest lower bound or **infimum** (inf) can be defined similarly.

**Example 1:** For  $A = \{\frac{1}{n} \mid n \in \mathbb{N}\} \subseteq \mathbb{Q}$ ,  $\sup(A) = 1$ ,  $\inf(A) = 0$ .

**Example 2:** For  $B = \{r \in \mathbb{Q}^{\geq 0} \mid r^2 < 2\} \subseteq \mathbb{Q}$ ,  $\inf(B) = 0$ , but the supremum does not exist in  $\mathbb{Q}$ . (why?)

**Example 3:** For  $C = \{r \in \mathbb{Q}^{\geq 0} \mid 2 < r^2 < 4\} \subseteq \mathbb{Q}$ ,  $\sup(B) = 2$ , but the infimum does not exist in  $\mathbb{Q}$ . (why?)

Example 1 and 2 shows us that there are 'some' gaps between rational numbers and they are not a 'continuum'.

# Characteristic properties of supremum

The necessary and sufficient conditions for  $\alpha$  to be the supremum of a nonempty set  $A$  is:

- 1 For every  $x \in A$ ,  $x \leq \alpha$ .
- 2 For every number  $u$  less than  $\alpha$ ,  $A$  has an element greater than  $u$ .

The same can be formulated for the infimum.

The necessary and sufficient conditions for  $\alpha$  to be the supremum of a nonempty set  $A$  is:

- 1 For every  $x \in A$ ,  $x \leq \alpha$ .
- 2 For every positive number  $\varepsilon$ ,  $A$  has an element  $x$  such that  $\alpha - \varepsilon < x$ .

The same can be formulated for the infimum.